

LETTER FOR OPERATIONS OF ACCOUNT – ALL EXCHANGES - VOLUNTARY

(Kindly note that these additional clause(s)/ documentation(s) are voluntary and at the discretion of the stock broker/ trading member and the client. The same are required in order to ensure running on a day to day basis between the stock broker/ trading member and the client. The client need not execute this document if he / she does not wish to. The client has the right to terminate the document)

To,
S.P.Jain Securities Pvt Ltd
14, Ambalal Doshi Marg ,
Raja Bahadur Bldg, 2,3 floor
Fort, Mumbai – 400 023.

Sir(s),

Re: Letter for operations of account

For the smooth operations of the account, kindly note our following instructions:

1. I/We authorize you to accept buy / sell order for securities over the telephone / fax / email or by any other mode of information / communication conveyed by me/us and no need to take separate instructions in writing every time. All such orders and trades will be binding on me/us.
2. I/We agree that I/We do not require your modification / confirmation / cancellation slips and trade confirmation slips.
3. I/We authorize you to debit my/our account with you towards the Debit dues of the Demat Account held with you . I/We will not object to any such debit entries made by you towards the debit dues in my/our demat account with you.
4. I/We agree that any information for adjustment of the securities in ensuing payin obligation will be made by me prior to the date of the payout & will be at your discretion without any responsibility on you to do the same.
5. I /We hereby authorize you to debit my/our trading account for debit in my/our demat account (Depository Account) held with you as and when bills are raised or at your discretion & shall not be binding on you to do so.
6. I/We hereby authorize you to debit my/our trading account for Internet trading / Securities pledged towards margin or any other facilities voluntarily being availed by me & chargeable from time to time. I also hereby authorize you to debit my trading account in case of charges / penalties levied for non-compliance of the Bye Laws, Rules and Regulations as laid down by SEBI / Exchanges / Depositories or any other government authorities.
7. I/We hereby agree to your adjustment of the financial obligation in same / different segment & same / different exchanges by way of Journal Entries or Financial transactions & same will be binding on me / us.

Thanking you

(Client Signature)

Date:-